

# THE MATHEMATICAL BASIS FOR DETERMINISTIC QUANTUM MECHANICS

G. 't HOOFT

*Institute for Theoretical Physics, Utrecht University,  
Leuvenlaan 4, 3584 CC Utrecht, the Netherlands, and  
Spinoza Institute  
Postbox 80.195, 3508 TD Utrecht, the Netherlands  
E-mail: g.thoof@phys.uu.nl*

If there exists a classical, *i.e. deterministic theory underlying quantum mechanics*, an explanation must be found of the fact that the Hamiltonian, which is defined to be the operator that generates evolution in time, is bounded from below. The mechanism that can produce exactly such a constraint is identified in this paper. It is the fact that not all classical data are registered in the quantum description. Large sets of values of these data are assumed to be indistinguishable, forming equivalence classes. It is argued that this should be attributed to information loss, such as what one might suspect to happen during the formation and annihilation of virtual black holes.

The nature of the equivalence classes is further elucidated, as it follows from the positivity of the Hamiltonian. Our world is assumed to consist of a very large number of subsystems that may be regarded as approximately independent, or weakly interacting with one another. As long as two (or more) sectors of our world are treated as being independent, they all must be demanded to be restricted to positive energy states only. What follows from these considerations is a unique definition of energy in the quantum system in terms of the periodicity of the limit cycles of the deterministic model.

*Keywords:* Quantum mechanics; Information loss; Hamiltonian; Deterministic model.

## 1. Introduction

There may exist different versions of deterministic theories underlying Quantum Mechanics, usually referred to as “hidden variable theories”. For instance, one may or may not assume the occurrence of information loss at tiny distance scales. One may suspect some sort of cellular automaton or a classical system of continuous fields, or even classical loops,  $D$ -branes, or whatever. Instead of quantizing such systems in the usual manner, we here consider what we will refer to as *pre-quantization*. With this term we mean that the physical system is not modified, as in usual quantization schemes, which depend on a new constant  $\hbar$ , but only rephrased in a language suitable for quantum mechanical manipulations at a later stage.

Pre-quantization may be useful when complex systems are handled probabilistically. The probability distribution  $W$  is then re-written as the absolute square of a wave function. The wave function obeys all the axioms of conventional quantum

mechanics, allowing us to perform all the mathematical tricks known from Quantum Mechanics and Quantum Field Theory, such as group representation theory and renormalization group transformations.

We suspect that our world can be understood by starting from a pre-quantized classical, or ‘ontological’, system. However, a serious difficulty is then encountered: one indeed gets Quantum Mechanics, but the Hamiltonian is not naturally bounded from below. If time would be assumed to be discrete, the Hamiltonian eigenvalues would turn out to be periodic, so one might limit oneself to eigenvalues  $E$  with  $0 \leq E < 2\pi/\delta t$ , where  $\delta t$  is the duration of a fundamental time step, but then the choice of a vacuum state is completely ambiguous, unlike the situation in the real world that one might want to mimic. If time is continuous, the Hamiltonian eigenvalues tend to spread over the real line, from  $-\infty$  to  $\infty$ .

In realistic theories, one therefore must impose a “superselection rule”, projecting out a subspace of Hilbert space where all energies are non-negative. How exactly to do this will be described here. At first sight, the freedom to choose phase factors in wave functions allows one to make such a selection without loss of generality. This observation, however, is not the solution to the positivity problem of the Hamiltonian, since positivity must also be demanded to hold for subsystems, and when such systems interact, the suppression of negative energy states might cause the violation of unitarity, or locality, or both.

In this paper, we derive the plausibility of our assumptions from first principles. First, the formalism is displayed in Section 2. Deterministic systems are shown to be accessible by quantum mechanical procedures, although this does not turn them into acceptable quantum mechanical models just yet, because the Hamiltonian is not bounded from below. Then, we demonstrate that the most basic building blocks of any deterministic theory consists of units that would evolve with periodicity if there were no interaction (Section 3). We use the empirically known fact that the Hamiltonians are all bounded from below both before introducing the interaction and after having included the interaction. This necessitates our introduction of equivalence classes (Section 4), such that neither the quantum mechanical nor the macroscopic observer can distinguish the elements within one equivalence class, but they can distinguish the equivalence classes.

This procedure is necessary in particular when two systems are considered together *prior* to considering any interaction. We are led to the discovery that, besides the Hamiltonian, there must be a classical quantity  $E$  that also corresponds to energy, and is absolutely conserved as well as positive (Section 5). It allows us to define the equivalence classes. We end up discovering a precise definition of the quantum wave function for a classical system (both amplitude and phase), and continue our procedure from there.

Physical and intuitive arguments were displayed in Ref.<sup>1</sup> In that paper, it was argued that any system with information loss tends to show periodicity at small scales, and quantization of orbits. It was also argued that some lock-in mechanism was needed to relate the Hamiltonian with an ontologically observable quantity  $E$

that is bounded from below. The lock-in mechanism was still not understood; here however we present the exact mathematical treatment and its relation to information loss. Interaction can be introduced in a rather direct manner (Section 6), by assuming energy not to be directly additive, but then it is difficult to understand how different energy sectors of the theory can be related to one another.

A more satisfactory picture emerges if one realizes that energy is not directly locally observable, but determined by the periods of the limit cycles. This is explained in Section 7. We think that this interpretation is imperative, and it sheds an interesting new light on the phenomenon we call quantum mechanics. After a discussion of our results (Section 8) an appendix follows in which we discuss the ‘random automaton’. It allows us to estimate the distribution of its limit cycles, though we immediately observe that the quantum models it generates are not realistic because the energy will not be an extensive quantity. The deterministic models that might reproduce observed quantum field systems must be very special.

This paper was written while these facts were being discovered, so that it represents an original train of thought, which may actually be useful for the reader.

## 2. Variables, Beables and Changeables

Any classical, deterministic system will contain some set of degrees of freedom  $\vec{q}$  that follow some orbit  $\vec{q}(t)$  in time. Time might be defined as a discrete variable or a continuous one, but this distinction is not as fundamental as one might think. If time is discrete, then the set  $\vec{q}$  will have to include a clock that gives a tick at every time step  $t_n = n \delta t$ , or,

$$\frac{d}{dt} q_{\text{clock}} = 1 ; \tag{1}$$

$$q_i \rightarrow q'_i(\vec{q}) \text{ at } q_{\text{clock}} = 0 \text{ mod } \delta t , \quad \forall i \neq \text{clock} . \tag{2}$$

It is not difficult to ascertain that this is just a special case of a more general equation of motion,

$$\frac{d}{dt} \vec{q} = \vec{f}(\vec{q}) . \tag{3}$$

For simplicity we therefore omit specific references to any clock<sup>a</sup>. In general, the orbit  $\vec{q}(t)$  will be dictated by an equation of motion of the form (3).

In the absence of information loss, this will correspond to a Hamiltonian

$$H = \sum_i p_i f_i(\vec{q}) + g(\vec{q}) , \tag{4}$$

where  $p_i = -i\partial/\partial q_i$  is the *quantum* momentum operator. It will be clear that the quantum equations of motion generated by this Hamiltonian will exactly correspond

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<sup>a</sup>Thus, we do, as yet, use an absolute notion of time. Special and general relativistic transformations are left for future studies.

to the *classical* equation (3). The function  $g(\vec{q})$  is arbitrary, its imaginary part being adjusted so as to ensure hermiticity:

$$H - H^\dagger = -i\vec{\nabla} \cdot \vec{f}(\vec{q}) + 2i \text{Im}(g(\vec{q})) = 0, \quad (5)$$

Any observable quantity  $A(\vec{q})$ , not depending on operators such as  $p_i$ , and therefore commuting with all  $q_i$ , will be called a *beable*. Through the time dependence of  $\vec{q}$ , the beables will depend on time as well. Any pair of beables,  $A$  and  $B$ , will commute with one another at all times:

$$[A(t_1), B(t_2)] = 0, \quad \forall t_1, t_2. \quad (6)$$

A *changeable* is an operator not commuting with at least one of the  $q_i$ 's. Thus, the operators  $p_i$  and the Hamiltonian  $H$  are changeables. Using beables and changeables as operators,<sup>1</sup> we can employ all standard rules of quantum mechanics to describe the classical system (3). At this point, one is tempted to conclude that the classical systems form just a very special subset of all quantum mechanical systems.

This, however, is not quite true. Quantum mechanical systems normally have a Hamiltonian that is bounded from below; the Hamiltonian (4) is not. At first sight, one might argue that all we have to do is *project out* all negative energy states<sup>1,2</sup>. We might obtain a physically more interesting Hilbert space this way, but, in general, the commutator property (6) between two beables is lost, if only positive energy states are used as intermediate states. As we will see, most of the beables (6) will not be observable in the quantum mechanical sense, a feature that they share with non-gauge-invariant operators in more conventional quantum systems with Yang-Mills fields. The projection mechanism that we need will be more delicate. As we will see, only the beables describing equivalence classes will survive as quantum observables.

We will start with the Hamiltonian (4), and only later project out states. Before projecting out states, we may observe that many of the standard manipulations of quantum mechanics are possible. For instance, one can introduce an integrable approximation  $f_i^{(0)}(\vec{q})$  for the functions  $f_i(\vec{q})$ , and write

$$f_i(\vec{q}) = f_i^{(0)}(\vec{q}) + \delta f_i(\vec{q}), \quad (7)$$

after which we do perturbation expansion with respect to the small correction terms  $\delta f_i$ . However, the *variation principle* in general does not work at this level, because it requires a lowest energy state, which we do not have.

### 3. The Harmonic Oscillator

We assume that a theory describing our world starts with postulating the existence of sub-systems that in some first approximation evolve independently, and then are assumed to interact. For instance, one can think of independent local degrees of freedom that are affected only by their immediate neighbors, not by what happens at a distance, baring in mind that one may have to expand the notion of immediate

neighbors to include variables that are spatially separated by distances of the order of the Planck length. Alternatively, one may think of elementary particles that, in a first approximation, behave as free particles, and are then assumed to interact.

Temporarily, we switch off the interactions, even if these do not have to be small. Every sub-system then evolves independently. Imagine furthermore that some form of *information loss* takes place. Then, as was further motivated in Ref.,<sup>1</sup> we suspect that the evolution in each domain will become *periodic*.

Thus, we are led to consider the case where we have one or more independent, periodic variables  $q_i(t)$ . Only at a later stage, coupling between these variables will have to be introduced in order to make them observable to the outside world. Thus, the introduction of periodic variables is an essential ingredient of our theory, in addition to being just a useful exercise.

Consider a single periodic variable:

$$\frac{\partial q}{\partial t} = \omega , \tag{8}$$

while the state  $\{q = 2\pi\}$  is identified with the state  $\{q = 0\}$ . Because of this boundary condition, the associated operator  $p = -i\partial/\partial q$  is quantized:

$$p = 0, \pm 1, \pm 2, \dots . \tag{9}$$

The inessential additive coefficient  $g(q)$  of Eq. (4) here has to be real, because of Eq. (5), and as such can only contribute to the unobservable phase of the wave function, which is why we permit ourselves to omit it:

$$H = \omega p = \omega n ; \quad n = 0, \pm 1, \pm 2, \dots . \tag{10}$$

If we would find a way to dispose of the negative energy states, this would just be the Hamiltonian of the quantum harmonic oscillator with internal frequency  $\omega$  (apart from an inessential constant  $\frac{1}{2}\omega$ ).

**Theorem 3.1.**

*Consider any probability distribution  $W(q)$  that is not strictly vanishing for any value of  $q$ , that is, a strictly positive, real function of  $q$ . Then a complex wave function  $\psi(q)$  can be found such that*

$$W(q) = \psi^*(q)\psi(q) , \tag{11}$$

*and  $\psi(q)$  is a convergent linear composition of eigenstates of  $H$  with non-negative eigenvalues only.*

The proof is simple mathematics. Write

$$\psi(q) = \exp(\alpha(q) + i\beta(q)) , \quad z = e^{iq} . \tag{12}$$

Choose  $\alpha+i\beta$  to be an entire function within the unit circle of  $z$ . Then an elementary exercise in contour integration yields,

$$\alpha(q) = \frac{1}{2} \log(W(q)) ; \quad \beta(q) = \beta_0 - \mathcal{P} \oint \frac{dq'}{2\pi} \left( 1 + \frac{\cos(q' - q)}{\sin(q' - q)} \right) \alpha(q') , \tag{13}$$

where  $\mathcal{P}$  stands for the principal value, and  $\beta_0$  is a free common phase factor. In fact, Eq. (13) is not the only function obeying our theorem, because we can choose any number of zeros for  $\psi(z)$  inside the unit circle and then again match (11). One concludes from this theorem that no generality in the function  $W$  is lost by limiting ourselves to positive energy eigenfunctions only.

In fact, we may match the function  $W$  with a wave function  $\psi$  that has a zero of an arbitrary degree at the origin of  $z$  space. This way, one can show that the lowest energy state can be postulated to be at any value of  $E$ .

In this paper, however, we shall take a different approach. We keep the negative energy states, but interpret them as representing the bra states  $\langle\psi|$ . These evolve with the opposite sign of the energy, since  $\langle\psi(t)| = e^{+iHt}\langle\psi(0)|$ . As long as we keep only one single periodic variable, it does not matter much what we do here, since energy is absolutely conserved. The case of two or more oscillators is more subtle, however, and this we consider in the next section.

In this bra-ket formalism, it will be more convenient to tune the energy of the lowest ket state at  $\frac{1}{2}\omega$ . The kets  $|n\rangle$  and bras  $\langle n|$  have  $E_n = (n + \frac{1}{2})\omega$ . The time evolution of the bras goes as if  $E_n = -(n + \frac{1}{2})\omega$ , so that we have a sequence of energy values ranging from  $-\infty$  to  $\infty$ .

#### 4. Two (or more) Harmonic Oscillators

As was explained at the beginning of Section 3, we expect that, when two periodic variables interact, again periodic motion will result. This may seem to be odd. If the two periods,  $\omega_1$  and  $\omega_2$  are incommensurate, an initial state will never exactly be reproduced. Well, this was before we introduced information loss. In reality, periodicity will again result. We will show how this happens, first by considering the quantum harmonic oscillators to which the system should be equivalent, according to Section 3, and then by carefully interpreting the result.

In Fig. 1, the states are listed for the two harmonic oscillators combined. Let their frequencies be  $\omega_1$  and  $\omega_2$ . The kets  $|n_1, n_2\rangle = |n_1\rangle|n_2\rangle$  have  $n_1 \geq 0$  and  $n_2 \geq 0$ , so they occupy the quadrant labelled *I* in Fig. 1. The bra states, in view of their time dependence, occupy the quadrant labelled *III*. The other two quadrants contain states with mixed positive and negative energies. Those must be projected away. If we would keep those states, then any interaction between the two oscillators would result in inadmissible mixed states, in disagreement with what we know of ordinary quantum mechanics. So, although keeping the bra states is harmless because total energy is conserved anyway, the mixed states must be removed. This is very important, because now we see that the joint system cannot be regarded as a direct product. Some of the states that would be allowed classically, must be postulated to disappear. We now ask what this means in terms of the two periodic systems that we thought were underlying the two quantum harmonic oscillators.

First, we wonder whether the spectrum of combined states will still be discrete. The classical, non interacting system would only be periodic if the two frequencies

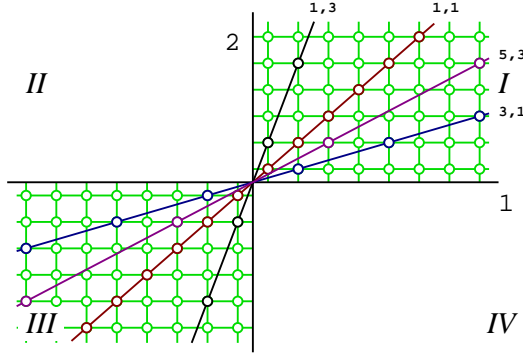


Fig. 1. Combining two harmonic oscillators. Tilted lines show sequences of spectral states again associated to harmonic oscillators. For further explanation, see text.

have a rational ratio:  $p\omega_1 - q\omega_2 = 0$ , where  $p$  and  $q$  are relative primes. The smallest period would be  $T = 2\pi q/\omega_1 = 2\pi p/\omega_2$ , so that we would expect equally spaced energy levels with spacings  $\omega_1/q = \omega_2/p$ . Indeed, at high energies, we do get such spacings also in the quantum system, with increasing degeneracies, but at lower energies many of these levels are missing. If the frequencies have an irrational ratio, the period of the classical system is infinite, and so a continuous spectrum would have to be expected.

When two quantum harmonic oscillators are considered together, this does not happen. The spectrum is always discrete. In Fig. 1, it is indicated how to avoid having missing states and variable degeneracies. We see that actually full series of equally spaced energy levels still exist:

*At any given choice of a pair of odd relative primes  $p$  and  $q$ , we have a unique series of bra- and ket states with energies  $\omega_{pq}(n + \frac{1}{2})$ , with  $\omega_{pq} = p\omega_1 + q\omega_2$ .*

It is easy to see that these sequences are not degenerate, that all odd relative prime pairs of integers  $(p, q)$  occur exactly once, and that all states are represented this way:

$$E_{n_1, n_2} = (n_1 + \frac{1}{2})\omega_1 + (n_2 + \frac{1}{2})\omega_2 = (n + \frac{1}{2})(p\omega_1 + q\omega_2) ; \quad (14)$$

$$\frac{2n_1 + 1}{2n_2 + 1} = \frac{p}{q} . \quad (15)$$

Some of these series are shown in the Figure.

We see that, in order to reproduce the quantum mechanical features, that is, to avoid the unphysical states where one energy is positive and the other negative, we have to combine two periodic systems in such a way that a new set of periodic systems arises, with frequencies  $\omega_{pq}$ . Only then can one safely introduce interactions of some form. Conservation of total energy ensures that the bra and ket states cannot

mix. States where one quantum oscillator would have positive energy and one has negative energy, have been projected out.

But how can such a rearrangement of the frequencies come about in a pair of classical periodic systems? Indeed, why are these frequencies so large, and why are they labelled by odd relative primes? In Fig. 2 the periodicities are displayed in configuration space,  $\{q_1, q_2\}$ . The combined system evolves as indicated by the arrows. The evolution might not be periodic at all. Consider now the  $(5, 3)$  mode. We can explain its short period  $T_{53} = 2\pi/\omega_{53}$  only by assuming that the points form equivalence classes, such that different points within one equivalence class are regarded as forming the same ‘quantum’ state. If all points on the lines shown in Fig. 2 (the ones slanting downwards) form one equivalence class, then this class evolves with exactly the period of the oscillator whose frequency is  $\omega_{53}$ .

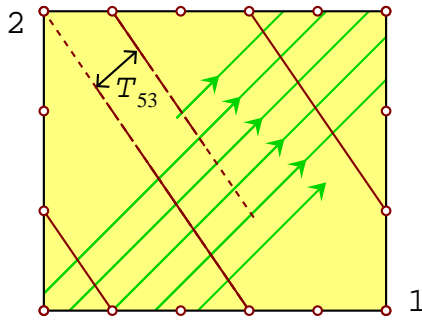


Fig. 2. The equivalence class for oscillators in the case  $(p, q) = (5, 3)$ . Lines with arrows pointing right and up: time trajectories of individual points. Solid and broken lines going downwards: (part of) the  $(5, 3)$  equivalence class at  $t = 0$ . For further explanation, see text.

In principle, this equivalence class can be formed in one of two ways: the information concerning the location of a point on this line is lost, either because there is an inherent information loss mechanism, implying that two different states may actually evolve to become the same state, or it could simply be that this information cannot be transmitted to macroscopically observable quantities. One could imagine a renormalization group technique that relates microscopic states to states at much larger distance scales. Not all data are being faithfully transmitted in the procedure. This latter option will later be dismissed as being impractical; it is highly revealing to assume explicit information loss.

For the time being, imagine that information loss takes place by means of processes that are random, uncontrollable or impossible to follow in detail, that cause our data point to fluctuate along the line of its equivalence class. The line itself moves with the deterministic speed of the original oscillators.

Observe in Figure 2 that, in case  $(p, q) = (5, 3)$ , due to these fluctuations, five points of system 1 alone now form a single equivalence class, and three points in system 2. This is because we have assigned 5 quanta of energy to system 1 for every

three quanta of energy of system 2. More generally, we could represent this situation with the wave function

$$\psi_{pq} = e^{i(n+\frac{1}{2})(pq_1+q_2-\omega_{pq}t)} e^{-\frac{1}{2}i(q_1+q_2)} , \quad (16)$$

where both variables  $q_{1,2}$  were taken to be periodic with periods  $2\pi$ . The  $(p, q)$  equivalence classes appear to be defined by the condition

$$pq_1 + q_2 = \text{Constant} , \quad (17)$$

and this means that the  $n$ -dependent part of the wave function (16) has a the same phase all over the entire equivalence class, if we may assume that the second term in Eq. (16), arising from the vacuum fluctuations  $\frac{1}{2}\omega t$ , may be ignored.

To describe the equivalence classes it is helpful to introduce time variables  $t_a$  for the subsystems  $a = 1, 2, \dots$  in terms of their *unperturbed* evolution law,  $q_a = \omega_a t_a$ . Then, writing  $E_1 = p\omega_1$ ,  $E_2 = q\omega_2$ , one can characterize the equivalence classes as

$$E_1 \delta t_1 + E_2 \delta t_2 = 0 , \quad (18)$$

which means that the reactions that induce information loss cause  $q_a$  to speed up or slow down by an amount  $\pm\delta t_a$  obeying this equation. One can easily generalize this result for many coexisting oscillators. They must form equivalence classes such that fluctuating time differences occur that are only constrained by

$$\sum_a E_a \delta t_a = 0 , \quad (19)$$

which also are the collections of points that have the same phase in their quantum wave functions. We conclude that, in the ontological basis  $\{|\vec{q}\rangle\}$ , *all states  $|\vec{q}\rangle$  which have the same phase in the wave function  $\langle\vec{q}|\psi\rangle$  (apart from a fixed, time independent term), form one complete equivalence class.*

## 5. Energy and Hamiltonian

In the previous section, it was derived that the energies of the various oscillators determine the shape of the equivalence classes that are being formed. However, this would require energy to be a beable, as defined in Section 2. Of course, the Hamiltonian, being the generator of time evolution, cannot be a beable. It is important to notice here, that the parameters  $p$  and  $q$  defining the equivalence classes as in Section 4, are not exactly the energies of  $q_1$  and  $q_2$ ; the Hamiltonian eigenvalues are

$$H_1 = (n + \frac{1}{2})p\omega_1 ; \quad H_2 = (n + \frac{1}{2})q\omega_2 , \quad (20)$$

with a common integral multiplication factor  $n + \frac{1}{2}$ . This  $n$  indeed defines the Hamiltonian of the orbit of the equivalence class. Generalizing this, the relation between the energies  $E_i$  in Eqs. (18) and (19) and the Hamiltonian  $H$ , is

$$H = (n + \frac{1}{2})E , \quad (21)$$

where  $n$  defines the evolution of a single clock that monitors the evolution of the entire universe.

Now that the relative primes  $p$  and  $q$  have become beables, we may allow for the fact that the periods of  $q_1$  and  $q_2$  depend on  $p$  and  $q$  as a consequence of some non-trivial interaction. But there is more. We read off from Fig. 2, that  $p$  points on the orbit of  $q_1$  in fact belong to the same equivalence class. Assuming that the systems 1 and 2 that we started off with, had been obtained again by composing other systems, we must identify these points. But this forces us to redefine the original periods by dividing these by  $p$  and  $q$ , respectively, and then we end up with two redefined periodic systems that are combined in the one and only allowed way:

$$p = q = 1 . \quad (22)$$

Only a single line in Fig. 1 survives: the diagonal.

The picture that emerges is the following. We are considering a collection of variables  $q_a$ , each being periodic with different periods  $T_a = 2\pi/\omega_a$ . They each are associated with a positive beable  $E_a$ , such that  $E_a = \omega_a$ . The interactions will be such that the total energy  $E = \sum_a E_a$  is conserved. Now as soon as these variables are observed together (even if they do not interact), an uncontrollable mixing mechanism takes place in such a way that the variables are sped up or slowed down by time steps  $\delta t_a$  obeying Eq. (19), so that, at any time  $t$ , all states obeying

$$\sum_a E_a t_a = \left( \sum_a E_a \right) t , \quad (23)$$

form one single equivalence class.

The evolution and the mixing mechanism described here are entirely classical, yet we claim that such a system turns into an acceptable quantum mechanical theory when handled probabilistically. However, we have not yet introduced interactions.

## 6. Interactions

We are now in a position to formulate the problem of interacting systems. Consider two systems, labelled by an index  $a = 1, 2$ . System  $a$  is characterized by a variable  $q_a \in [0, 2\pi)$  and a discrete index  $i = 1, \dots, N_a$ , which is a label for the spectrum of states the system can be in. Without the interaction,  $i$  stays constant. Whether the interaction will change this, remains to be seen.

The frequencies are characterized by the values  $E_a^i = \omega_a^i$ , so that the periods are  $T_a^i = 2\pi/\omega_a^i$ . Originally, as in Section 4, we had  $\omega_a^i = p_a \omega_a$ , where  $p_1 = p$  and  $p_2 = q$  were relative primes (and both odd), but the periods  $\omega_a$  are allowed to depend on  $p_a$ , so it makes more sense to choose a general spectrum to start with.

The non-interacting parts of the Hamiltonians of the two systems, responsible for the evolution of each, are described by

$$H_a^0 |n_a, i\rangle = \left( n_a + \frac{1}{2} \right) E_a^i |n_a, i\rangle , \quad (24)$$

where the integer  $n_a = -\infty, \dots, +\infty$  is the changeable generating the motion along the circle with angular velocity  $\omega_a$ . We have

$$n_a = -i\partial/\partial q_a . \tag{25}$$

The total Hamiltonian describing the evolution of the combined, unperturbed, system is not  $H_1^0 + H_2^0$ , but

$$H_{\text{tot}}^0 = (n_{\text{tot}} + \frac{1}{2})(E_1^i + E_2^j) , \tag{26}$$

where  $i, j$  characterize the states 1 and 2, but we have a single periodic variable  $q_{\text{tot}} \in [0, 2\pi)$ , and

$$n_{\text{tot}} = -i\partial/\partial q_{\text{tot}} . \tag{27}$$

In view of Eq. (17), which here holds for  $p = q = 1$ , we can define

$$q_{\text{tot}} = q_1 + q_2 , \tag{28}$$

while  $q_1 - q_2$  has become invisible. We can also say,

$$n_1 = n_2 = n_{\text{tot}} . \tag{29}$$

An interacting system is expected to have perturbed energy levels, so that its Hamiltonian should become

$$H^0 + H^{\text{int}} = (n_{\text{tot}} + \frac{1}{2})(E_1^i + E_2^j + \delta E^{ij}) , \tag{30}$$

where  $\delta E^{ij}$  are correction terms depending on both  $i$  and  $j$ . This is realized simply by demanding that the beables  $E_1^i$  and/or  $E_2^j$  get their correction terms straight from the other system. This is an existence proof for interactions in this framework, but, at first sight, it appears not to be very elegant. It means that the velocity  $\omega_1^{ij}$  of one variable  $q_1$  depends on the state  $j$  that the other variable is in, but no matrix diagonalization is required. Indeed, we still have no transitions between the different energy states  $i$ . It may seem that we have to search for a more general interaction scheme. Instead, the scheme to be discussed next differs from the one described in this section by the fact that the energies  $E$  cannot be read off directly from the state a system is in, even though they are beables. The indices  $i, j$  are locally unobservable, and this is why we usually work with superimposed states.

## 7. Limit Cycles

Consider the evolution following from a given initial configuration at  $t = t_0$ , having an energy  $E$ . Let us denote the state at time  $t$  by  $F(t)$ . The equivalence classes  $|\psi(t)\rangle$  defined in Section 4 are such that the state  $F(t)$  is equivalent to the state  $F(t + \Delta t)$ , where

$$\Delta t = h/E , \tag{31}$$

in which  $h$  is Planck's constant, or:

$$|\psi(t)\rangle \stackrel{\text{def}}{=} \{F(t + n\Delta t), \forall n|_{n \geq n_1}\}, \quad (32)$$

for some  $n_1$ . Let us now assume that the equivalence indeed is determined by information loss. That the states in Eq. (32) are equivalent then means that there is a smallest time  $t_1$  such that

$$F(t_1 + n\Delta t) = F(t_1), \forall n \geq 0. \quad (33)$$

Thus, the system ends in a *limit cycle* with period  $\Delta t$ .

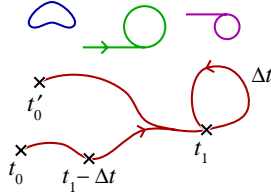


Fig. 3. Configuration space showing the limit cycles of an evolving system, indicating the times  $t_0$ ,  $t_1$  and the period  $\Delta t$  of a limit cycle. The points at  $t_0$  and  $t_0'$  form one equivalence class.

One now may turn this observation around. A closed system that can only be in a finite number of different states, making transitions at discrete time intervals, would necessarily evolve back into itself after a certain amount of time, thus exhibiting what is called a Poincaré cycle. If there were no information loss, these Poincaré cycles would tend to become very long, with a periodicity that would increase exponentially with the size of the system. If there is information loss, for instance in the form of some dissipation effect, a system may eventually end up in Poincaré cycles with much shorter periodicities. Indeed, time does not have to be discrete in that case, and the physical variables may form a continuum; there could be a finite set of stable orbits such that, regardless the initial configuration, any orbit is attracted towards one of these stable orbits; they are the limit cycles. The energy of a state is then simply defined to be given by Eq. (31), or  $E \stackrel{\text{def}}{=} h/P$ , where  $P = \Delta t$  is the period of the limit cycle, and  $h$  is Planck's constant.

Since this period coincides with the period of the wave function, we now deduce a physical interpretation of the phase of the wave function: *The phase of a wave function* (in the frame of energy eigenstates) *indicates where in the limit cycle the state will be.*

In general, we will have a superposition of many possibilities, and so we add to this the interpretation of the amplitude of the wave function: the absolute value of the amplitude in the frame of energy eigenstates indicates the probability that a particular limit cycle will be reached. Thus, we have reached the exact physical meaning of a quantum wave function.

We identified any deterministic system having information loss, with a quantum mechanical system evolving with a Hamiltonian defined by Eq. (21). However, in order to obtain a realistic model, one has to search for a system where the energy is extrinsic. With this, we mean that the universe consists of subsystems that are weakly coupled. Uncoupled systems are described as in Section 4; weakly coupled systems must be such that the limit cycles of the combination (12) of two systems (1) and (2) must have periods  $P_{12}$  obeying

$$\frac{1}{P_{12}} \approx \frac{1}{P_1} + \frac{1}{P_2} , \tag{34}$$

which approaches the exact identity in the limit of large systems being weakly coupled. This is the energy conservation law.

### 8. Discussion

When we attempt to regard quantum mechanics as a deterministic system, we have to face the problem of the positivity of the Hamiltonian, as was concluded earlier in Refs<sup>1,2,3</sup> There, also, the suspicion was raised that information loss is essential for the resolution of this problem. In this paper, the mathematical procedures have been worked out further, and we note that the deterministic models that we seek must have short limit cycles, obeying Eq. (31). Short limit cycles can easily be obtained in cellular automaton models with information loss, but the problem is to establish the addition rule (34), which suggests the large equivalence classes defined by Eq. (17). We think that the observations made in this paper are an important step towards the demystification of quantum mechanics.

We found that the energy eigenstates of a quantum system correspond to the limit cycles of the deterministic model. If  $P$  is the period of the limit cycle, then the energy  $E$  of this state is  $E = h/P$  (see Eq. 31).

In models with more or less random evolution laws, one can guess the distribution of the periods of the limit cycles. In the Appendix, we derive the distribution of limit cycles with periods  $\Delta t = P$  for a “completely random” model, which we define to be a model where the mapping  $F(t) \rightarrow F(t + 1)$  is chosen completely randomly for every  $F(t)$ , independently of how many other states  $F'(t)$  might map into the same state  $F(t + 1)$ . It is found that the distribution of the cycles may then be expected to be logarithmic, which leads to a logarithmic energy spectrum: the energy eigenstates are a Poisson distribution on a logarithmic scale:

$$\varrho(E)dE = dE/E = d \log E , \tag{35}$$

with cutoffs at

$$E_{\min} = \mathcal{O}(h/\sqrt{2\mathcal{N}}) , \quad E_{\max} = \mathcal{O}(1/\delta t) , \tag{36}$$

where  $\mathcal{N} = \mathcal{O}(e^V)$  is the total number of possible states and  $\delta t$  is some cutoff in time that our system may have. This is not a realistic energy spectrum for a quantum

field theory, so we must conclude that realistic models will have to be far from random.

Cellular automaton models can be written down that show a rapid convergence towards small limit cycles, starting from any state  $F(0)$ . Conway's "game of life"<sup>4</sup> is an example, although that also features 'glider solutions', which are structures that are periodic, but they move forward when released in an empty region, so that they are not limit cycles in the strict sense. It must be emphasized, however, that Conway's game of life will not serve as a model generating quantum mechanics. In a model generating quantum mechanics, the vacuum state is the state with the *longest* limit cycle, since it has the lowest energy. Thus, the empty state in Conway's game of life would carry more energy than its glider solutions.

The limit cycles of a random model are too long, those of cellular automata such as Conway's Game of Life are too short. In the real universe we have a small number of massless particle species, and many more massive ones, generating a rich spectrum of energies all very low compared to the Planck energy. If we assume that the Planck time would be Nature's natural time scale, then we observe that there must be many limit cycles whose periods are very long compared to the Planck time. Our universe appears to be built in such a way that, as soon as several of these cyclic limiting solutions are allowed to interact, new limit cycles will be reached with shorter periods, due to information loss.

In cellular automaton models, one might be able to mimic such a situation best by introducing a nearly conserved, positive quantity resembling energy, which can be seen statistically to decrease slowly on the average, so that the most chaotic initial states relax into more organized states that can easily end up in a limit cycle. The more chaotic the initial state, the smaller the period of its eventual limit cycle is expected to be, but there are many special initial states with very long limit cycles: the low energy states.

States of interest, with which we might attempt to describe the universe as we know it, must be very far away from any limit cycle. They are also far away from the strictly stationary eigenstates of the Hamiltonian. This means that we *do not yet know* which of the numerous possible limit cycles our universe will land into. This is why we normally use wave functions that have a distribution of amplitudes in the basis of the Hamiltonian eigenmodes. The squares of these amplitudes indicate the probability that any particular limit cycle will be reached. Also note that, according to General Relativity, taking into account the negative energies in the gravitational potentials, the total energy of the universe should vanish, which means that the entire universe might never settle for any limit cycle, as is indeed suggested by what we know of cosmology today: the universe continues to expand. The limit cycles mentioned in this paper refer to idealized situations where small sections of the universe are isolated from the rest, so as to be able to define their energies exactly. Only when a small part of the universe is sealed off from the rest, it is destined to end up in a limit cycle.

It may be of importance to note that our definition of energy, as being the inverse

of the period of the limit cycle, supplies us with an absolute scale of energy: it is not allowed to add a constant to it. Moreover, the energy  $E$  in Eq. (21), as opposed to the Hamiltonian  $H$ , is a beable. Thus it is allowed to couple it to gravity by imposing Einstein's equations. If indeed the vacuum has a limit cycle with a large period, it carries a very low energy, and this is why we suspect that the true resolution of the cosmological constant problem<sup>5</sup> will come from deterministic quantum mechanics rather than some symmetry principle.<sup>6</sup> Earlier, the cosmological constant has been considered in connection with deterministic quantum mechanics by Elze.<sup>7</sup> The fact that the observed cosmological constant appears to be non-vanishing implies that a finite volume  $V$  of space will have a largest limit cycle with period

$$P = 8\pi \frac{\hbar G}{\Lambda V}, \tag{37}$$

which is of the order of a microsecond for a volume of a cubic micron. If  $\Lambda$  were negative we would have had to assume that gravity does not exactly couple to energy.

Lorentz transformations and general coordinate transformations have not been considered in this paper. Before doing that, we must find models in which the Hamiltonian is indeed extensive, that is, it can be described as the integral of an Hamiltonian density  $T_{00}(\vec{x}, t)$  over 3-space, as soon as the integration volume element  $d^3\vec{x}$  is taken to be large compared to the 'Planck volume'. When that is achieved, we will be only one step away from generating locally deterministic quantum field theories.

What can be said from what we know presently, is that a particle with 4-momentum  $p_\mu$  must represent an equivalence class that contains all translations  $x^\mu \rightarrow x^\mu + \Delta x^\mu$  with  $p_\mu \Delta x^\mu = n \hbar$ , where  $n$  is an integer. Note that a limit cycle having this large transformation group as an invariance group is hard to imagine, which probably implies that this particular limit cycle will take an infinite time to be established. Indeed, a particle in a fixed momentum state occupies the entire universe, and we already observed that the entire universe will never reach a limit cycle.

In the real world, we have only identified the observable quantum states, which we now identify with the equivalence classes of ontological states. We note that the physical states of the Standard Model in fact are also known to be gauge equivalence classes, Local gauge transformations modify our description of the dynamical variables, but not the physical observations. It is tempting to speculate that these gauge equivalence classes (partly) coincide with the equivalence discussed in this paper, although our equivalence classes are probably a lot larger, which may mean that many more local gauge symmetries are still to be expected.

It is even more tempting to include here the gauge equivalence classes of General Relativity: perhaps local coordinate transformations are among the dissipative transitions. In this case, the underlying deterministic theory might not be invariant under local coordinate transformations, and here also one may find novel approaches

towards the cosmological constant problem and the apparent flatness of our universe.

Our reason for mentioning virtual black holes being sources of information loss might require further explanation. Indeed, the *quantum mechanical* description of a black hole is not expected to require information loss (in the form of quantum decoherence); it is the corresponding classical black hole that we might expect to play a role in the ontological theory, and that is where information loss is to be expected, since classical black holes do not emit Hawking radiation. As soon as we turn to the quantum mechanical description in accordance to the theory explained in this paper, a conventional, fully coherent quantum description of the black hole is expected.

Although we do feel that this paper is bringing forward an important new approach towards the interpretation of Quantum Mechanics, there are many questions that have not yet been answered. One urgent question is how to construct explicit models in which energy can be seen as extrinsic, that is, an integral of an energy density over space. A related problem is how to introduce weak interactions between two nearly independent systems. Next, one would like to gain more understanding of the phenomenon of (destructive) interference, a feature typical for Quantum Mechanics while absent in other statistical theories.

## Appendix A.

### 9. The random deterministic model

For simplicity, take the duration  $\delta t$  of one time step, in Eq. (2), to be 1. Consider a completely random mapping  $F(t) \rightarrow F(t+1)$ . This means that, using a random number generator, some rule has been established to define  $F(t+1)$  for any given  $F(t)$ . The rule does not depend on  $t$ . In general, the mapping will not at all be one-to-one. Let the total number of states  $F(t)$  be  $\mathcal{N}$ . In general,  $\mathcal{N}$  will grow exponentially with the volume  $V$  of the system. We claim that the distribution of limit cycles may be expected to be as described in Section 8. The argument goes as follows.

At  $t = 0$ , take an arbitrary element of the space of states,  $F(0)$ . The series  $F(1), F(2), \dots$  will end up in a limit cycle, which means that there is a time  $T$  that is the smallest time with the property that  $F(T) = F(t_1)$  for some  $0 \leq t_1 < T$ . The length of the limit cycle is  $P = T - t_1$ , see Fig. 3. We consider the case that  $\mathcal{N} \gg 1$ .

Let  $Q(x)$  be the probability that  $T > x-1$ , given the state  $F(0)$ . The probability that  $T = x$  is then equal to  $(x/\mathcal{N})Q(x)$ , so that

$$\frac{d}{dx}Q(x) = -\frac{x}{\mathcal{N}}Q(x), \quad Q(0) = 1 \quad \rightarrow \quad Q(x) = e^{-x^2/(2\mathcal{N})}. \quad (\text{A.1})$$

In general,  $t_1 > 0$ , so that the state  $F(0)$  itself is not a member of the limit cycle. Apparently the limit cycles contain only a small subset of all states. The probability that the element  $F(0)$  actually happens to sit in the limit cycle with length  $P$  (to

be called  $C(P)$ , is the probability that  $t_1 = 0$ , or

$$\mathcal{P}\left(F(0) \in C(P)\right) = \frac{1}{\mathcal{N}} Q(P) . \quad (\text{A.2})$$

This is understood as follows:  $Q(P)$  is the chance that the cycle, starting from  $F(0)$  did not close earlier, and  $1/\mathcal{N}$  is the probability that the  $P^{\text{th}}$  state happens to coincide with  $F(0)$ .

Since  $C(P)$  contains  $P$  states, and the total number of states is  $\mathcal{N}$ , one derives that the expectation value of the number of limit cycles with length  $P$  is

$$\mathcal{E}(P) = \frac{1}{P} e^{-P^2/(2\mathcal{N})} . \quad (\text{A.3})$$

From this, we derive the distribution of periods  $P$  to be

$$\varrho(P)dP = \frac{dP}{P} e^{-P^2/(2\mathcal{N})} . \quad (\text{A.4})$$

For all periods  $P$  that are small compared to  $\sqrt{\mathcal{N}}$ , the exponent can be ignored, and since  $E = h/P$ , Eq. (35) follows. The largest period is of order  $\sqrt{\mathcal{N}}$ , and the smallest one is the fundamental time unit, which here was taken to be one.

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